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### Notice on the Arrangements of Qualified Foreign Institutional Investors' and RMB Qualified Foreign Institutional Investors' Participation in Commodity Futures and Options Trading

To all concerning parties:

To facilitate the participation of Qualified Foreign Institutional Investors and RMB Qualified Foreign Institutional Investors (collectively referred to as "Qualified Foreign Investors", or QFIs) in the trading of commodity futures and options contracts of Zhengzhou Commodity Exchange (ZCE), ZCE is hereby notifying the following matters:

1. Banks with futures margin depository qualification for domestic clients of ZCE can directly engage in the futures margin depository business of QFIs (please see attachment for the list of designated depository banks).

2. Futures Firm Members entrusted by QFIs to trade ZCE's commodity futures and options shall open accounts for QFIs in accordance with the *Management Rules on the Account Opening of Futures Market Clients*, and the *Business Guidelines on the Account Opening of Special Corporate Clients* issued by the China Futures Market Monitoring Center (CFMMC), and strictly follow the requirements in the *Circular on the Participation of Qualified Foreign Institutional Investors and RMB Qualified Foreign Institutional Investors in Commodity Futures and Options Trading* ( [2022] No.76 ), properly manage clients' trading access to the relevant futures and options contracts.

For QFIs trading products with investor eligibility requirements, Futures Firm Members shall strictly comply with the relevant requirements in the *Measures for the Administration of Trader Suitability Requirements for Futures Trading of Zhengzhou Commodity Exchange and Operational Guidelines for Trader Suitability Requirements for Futures Trading of Zhengzhou Commodity Exchange*, and properly manage the eligibility of QFIs.

3. Futures Firm Members shall properly interpret rules on trading access management and other relevant rules, fully remind QFIs of matters and risks related to the trading, clearing, delivery and other businesses, and guide QFIs to engage in trading commodity futures and options in an orderly manner.

4. All concerning parties are suggested to make full preparations for QFIs' participation in trading commodity futures and options, strengthen risk prevention and control, and ensure the stable operation of the market.

Attachment: List of Designated Depository Banks with Futures Margin Depository Qualification for Domestic Clients of ZCE

Zhengzhou Commodity Exchange  
September 2, 2022

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### **Circular on the Participation of Qualified Foreign Institutional Investors and RMB Qualified Foreign Institutional Investors in Commodity Futures and Options Trading**

With the approval of the China Securities Regulatory Commission (CSRC), starting from today, Qualified Foreign Institutional Investors and RMB Qualified Foreign Institutional Investors can participate in the trading of the following commodity futures and options contracts of Zhengzhou Commodity Exchange (ZCE):

1. Purified Terephthalic Acid (PTA) futures contracts;
2. Purified Terephthalic Acid (PTA) options contracts;
3. Methanol futures contracts;
4. Methanol options contracts;
5. White Sugar futures contracts;
6. White Sugar options contracts;
7. Rapeseed Oil futures contracts;
8. Rapeseed Oil options contracts;
9. Polyester Staple Fiber futures contracts.

Zhengzhou Commodity Exchange

September 2, 2022



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## Notice on the Participation of Qualified Foreign Institutional Investors and RMB Qualified Foreign Institutional Investors in Commodity Futures and Options Trading

Updated on: Sep 02, 2022

To all concerning parties:

To facilitate the participation of Qualified Foreign Institutional Investors and RMB Qualified Foreign Institutional Investors (collectively referred to as "Qualified Foreign Investors", or QFIs) in the trading of commodity futures and options contracts of Shanghai Futures Exchange (SHFE), SHFE is hereby notifying the following matters:

1. Banks with futures margin depository qualification for clients of SHFE can directly engage in the futures margin depository business of QFIs (please see attachment for the list of designated depository banks);

2. Futures Firm Members entrusted by QFIs to trade SHFE's commodity futures and options shall open accounts for QFIs in accordance with the *Management Rules on the Account Opening of Futures Market Clients*, and the *Business Guidelines on the Account Opening of Special Corporate Clients* issued by the China Futures Market Monitoring Center (CFMMC), and manage the trading access of their clients in strict compliance with the *Circular on the Participation of Qualified Foreign Institutional Investors and RMB Qualified Foreign Institutional Investors in Commodity Futures and Options Trading* and other relevant requirements.

For QFIs trading products with investor eligibility requirements, Futures Firm Members shall strictly comply with the relevant requirements in the *Futures Trading Participant Eligibility Management Rules of the Shanghai Futures Exchange*, and the *Guidelines on Futures Trading Participant Eligibility Management of Shanghai Futures Exchange*, and properly manage the eligibility of QFIs.

3. Futures Firm Members shall properly interpret rules on trading access management and other relevant rules, fully remind QFIs of matters and risks related to the trading, clearing, delivery and other businesses, and guide QFIs to engage in trading commodity futures and options in an orderly manner.

4. All concerning parties are suggested to make full preparations for QFIs' participation in trading commodity futures and options, strengthen risk prevention and control, and ensure the stable operation of the market.

Attachment:

1. Designated Depository Banks of Shanghai Futures Exchange

Shanghai Futures Exchange  
September 2, 2022



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## Circular on the Participation of Qualified Foreign Institutional Investors and RMB Qualified Foreign Institutional Investors in Commodity Futures and Options Trading

Updated on: Sep 02, 2022

With the approval of the China Securities Regulatory Commission (CSRC), starting from today, Qualified Foreign Institutional Investors and RMB Qualified Foreign Institutional Investors can participate in the trading of the following commodity futures and options contracts of Shanghai Futures Exchange (SHFE):

1. Gold, Silver, Copper, Aluminum, Zinc, Steel Rebar, and Hot Rolled Coils futures contracts;
2. Gold, Copper, Aluminum, and Zinc options contracts.

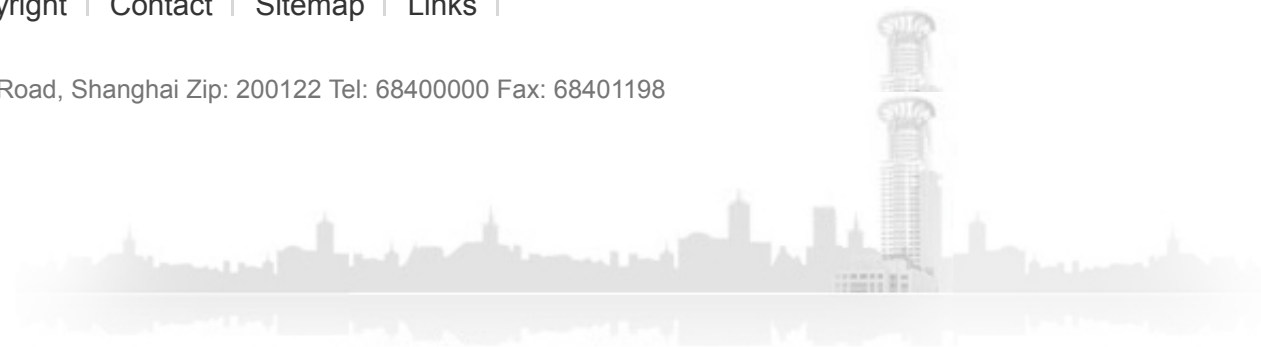
Shanghai Futures Exchange  
September 2, 2022



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## Shanghai International Energy Exchange has announced its Notice on the Participation of Qualified Foreign Institutional Investors and RMB Qualified Foreign Institutional Investors in Commodity Futures and Options Trading

Updated on: Sep 02, 2022

Shanghai International Energy Exchange has announced its *Notice on the Participation of Qualified Foreign Institutional Investors and RMB Qualified Foreign Institutional Investors in Commodity Futures and Options Trading* as follows:

To all concerning parties:

To facilitate the participation of Qualified Foreign Institutional Investors and RMB Qualified Foreign Institutional Investors (collectively referred to as "Qualified Foreign Investors", or QFIs) in the trading of commodity futures and options contracts of Shanghai International Energy Exchange (INE), INE is hereby notifying the following matters:

1. Banks with the qualification to conduct futures margin depository business for domestic clients of INE can directly engage in the futures margin depository business of QFIs (please see attachment for the list of designated depository banks);

2. Futures Firm Members entrusted by QFIs to trade INE's commodity futures and options shall open accounts for QFIs in accordance with the *Management Rules on the Account Opening of Futures Market Clients*, and the *Business Guidelines on the Account Opening of Special Corporate Clients* issued by the China Futures Market Monitoring Center (CFMMC), and manage the trading access of their clients in strict compliance with the *Circular on the Participation of Qualified Foreign Institutional Investors and RMB Qualified Foreign Institutional Investors in Commodity Futures and Options Trading* and other relevant requirements.

For QFIs trading products with investor eligibility requirements, Futures Firm Members shall strictly comply with the relevant requirements in the *Futures Trading Participant Eligibility Management Rules of the Shanghai International Energy Exchange*, and the *Guidelines on Futures Trading Participant Eligibility Management of Shanghai International Energy Exchange*, and properly manage the eligibility of QFIs.

3. Futures Firm Members shall properly interpret rules on trading access management and other relevant rules, fully remind QFIs of matters and risks related to the trading, clearing, delivery and other businesses, and guide QFIs to engage in trading commodity futures and options in an orderly manner.

4. All concerning parties are suggested to make full preparations for QFIs' participation in commodity futures and options trading, strengthen risk prevention and control, and ensure the stable operation of the market.

Attachment:

1. Designated Depository Banks of Shanghai International Energy Exchange

Shanghai International Energy Exchange  
September 2, 2022



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## Shanghai International Energy Exchange has announced its Circular on the Participation of Qualified Foreign Institutional Investors and RMB Qualified Foreign Institutional Investors in Commodity Futures and Options Trading

Updated on: Sep 02, 2022

Shanghai International Energy Exchange has announced its *Circular on the Participation of Qualified Foreign Institutional Investors and RMB Qualified Foreign Institutional Investors in Commodity Futures and Options Trading* as follows:

With the approval of the China Securities Regulatory Commission (CSRC), starting from today, Qualified Foreign Institutional Investors and RMB Qualified Foreign Institutional Investors can participate in the trading of the following commodity futures and options contracts of Shanghai International Energy Exchange (INE):

1. Crude Oil, TSR20, Low Sulfur Fuel Oil, and Bonded Copper futures contracts;
2. Crude Oil options contracts.

Shanghai International Energy Exchange  
September 2, 2022

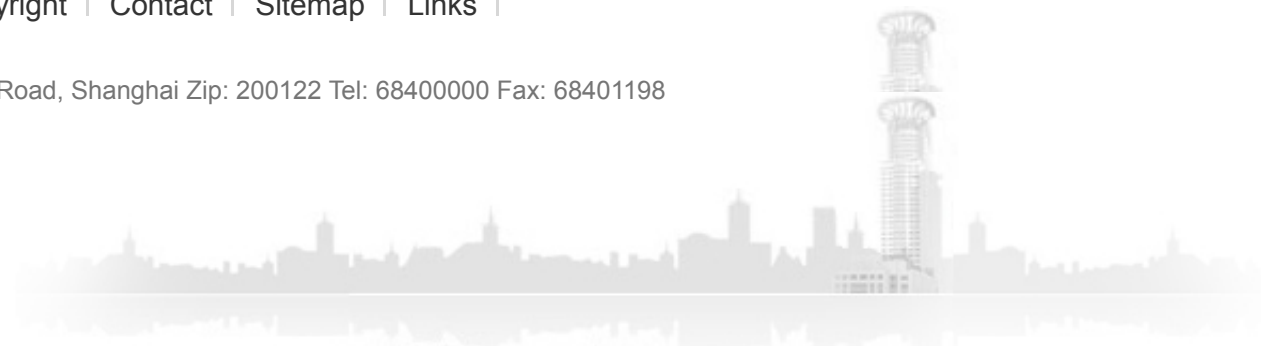


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Notice on the Participation of Qualified Foreign Institutional Investors and RMB Qualified Foreign Institutional Investors in Commodity Futures and Options Trading

Date: 02 September 2022

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Ref: No.461 [2022] DCE

September 2, 2022

Dalian Commodity Exchange

To all parties concerned:

To facilitate the participation of Qualified Foreign Institutional Investors and RMB Qualified Foreign Institutional Investors (collectively referred to as “Qualified Foreign Investors”, or QFIs) in the trading of commodity futures and options contracts of Dalian Commodity Exchange (DCE), DCE is hereby notifying the following matters:

- 1.Banks with futures margin depository qualification for domestic clients of DCE can directly engage in the futures margin depository business for QFIs (please see attachment for the list of designated depository banks);
- 2.Futures Company Members entrusted by QFIs to trade DCE’s commodity futures and options shall open accounts for QFIs in accordance with the *Management Rules on the Account Opening of Futures Market Clients*, and the *Business Guidelines on the Account Opening of Special Corporate Clients* issued by the China Futures Market Monitoring Center (CFMMC), and strictly follow the requirements in the *Circular on the Participation of Qualified Foreign Institutional Investors and RMB Qualified Foreign Institutional Investors in Commodity Futures and Options Trading*, properly manage clients’ trading access to the relevant futures and options contracts.

For QFIs trading products with investor suitability requirements, Futures Company Members shall strictly comply with the relevant requirements in the *Management Measures on Futures Trader Suitability of Dalian Commodity Exchange*, and properly manage the eligibility of QFIs.

- 3.Futures Company Members shall properly interpret rules on trading access management and other relevant rules, fully remind QFIs of matters and risks related to the trading, clearing, delivery and other businesses, and guide QFIs to engage in trading commodity futures and options in an orderly manner.
- 4.All parties concerned are suggested to make full preparations for QFIs’ participation in trading commodity futures and options, strengthen risk prevention and control, and ensure the stable operation of the market.

Attachment:

[List of Designated Depository Banks with Futures Margin Depository Qualification for Domestic Clients of DCE](#)

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Circular on the Participation of Qualified Foreign Institutional Investors and RMB Qualified Foreign Institutional Investors in Commodity Futures and Options Trading

Date: 02 September 2022

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Ref: No.68 [2022] DCE

September 2, 2022

Dalian Commodity Exchange

With the approval of the China Securities Regulatory Commission (CSRC), starting from today, Qualified Foreign Institutional Investors and RMB Qualified Foreign Institutional Investors can participate in the trading of the following commodity futures and options contracts of Dalian Commodity Exchange (DCE):

1.No. 1 Soybean, No. 2 Soybean, Soybean Meal, Soybean Oil, RBD Palm Olein, Iron Ore, LLDPE futures contracts;

2.No. 1 Soybean, No. 2 Soybean, Soybean Meal, Soybean Oil, RBD Palm Olein, Iron Ore, LLDPE options contracts.

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# Notice on Participation of Qualified Foreign Institutional Investors and RMB Qualified Foreign Institutional Investor in Equity Index Options Trading

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2022-08-19

# Notice on Participation of Qualified Foreign Institutional Investors and RMB Qualified Foreign Institutional Investor in Equity Index Options Trading

2022-09-02

CFFEX Notice〔2022〕No.52

All member entities,

Pursuant to the Measures for the Administration of Domestic Securities and Futures Investment by Qualified Foreign Institutional Investors and RMB Qualified Foreign Institutional Investors (CSRC Decree No.176), and CSRC Announcement on Tradings by Qualified Foreign Institutional Investors and RMB Qualified Foreign Institutional Investors in Financial Derivatives (CSRC Announcement [2021] No.24), matters related to the participation of Qualified Foreign Institutional Investors and RMB Qualified Foreign Institutional Investors (hereinafter jointly referred to as "Qualified Foreign Investors") in equity index options trading on China Financial Futures Exchange (CFFEX) are hereby notified as follows:

1. Qualified Foreign Investors shall comply with the applicable laws, administrative regulations, ministry-level rules of the State as well as the business rules and notices of CFFEX. Qualified Foreign Investors may trade equity index options for hedging purposes only.
2. Members entrusted by Qualified Foreign Investors shall perform their duties faithfully and diligently and enhance the compliance management of Qualified Foreign Investors' trading activities.
3. CFFEX will begin to accept relevant business applications for Qualified Foreign Investors trading equity index options from the publication of this notice.

China Financial Futures Exchange

9/2/2022



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